

DOCKET NO.: 05-03-001
CLIENT NO.: UGSC01-05025
Customer No.: 45113

PATENT

JW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Thomas P. Pritchard et al.
Application No. : 10/737,215
Filed : December 16, 2003
For : SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT
FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT (As
Amended)
Group No. : 2131
Examiner : Not Yet Assigned

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

The undersigned hereby certifies that the following documents:

1. Postcard receipt;
2. Information Disclosure Statement;
3. Copy of International Search Report for PCT Application No. PCT/US04/24727;
4. Forms PTO/SB/08A & B; and
5. Three (3) references

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to, Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 22, 2006.

Date: 2/22/06

Nancy J. Lamski

Date: 2/22/06

Mailer

Docket Clerk
P.O. Drawer 800889
Dallas, Texas 75380
Phone: (972) 628-3600
Fax: (972) 628-3616
E-mail: manderson@davismunck.com

Matthew S. Anderson
Matthew S. Anderson
Reg. No. 39,093

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The references herein are listed below and on the attached Forms PTO/SB/08A & B. These references were located during the International Search Report issued in the related PCT Patent Application No. PCT/US04/24727, a copy of which is attached hereto. Copies of the listed publications are submitted herewith.

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
2003/0130884	Michaluk	July 10, 2003
2004/0068431	Smith et al.	April 8, 2004
2005/0125324	Eicher	June 9, 2005

Publications

Brian P. Gallegher, "Interpreting Capability Maturity Model Integration (CMMI) for Operational Organizations", Software Engineering Process Management, April 2002, 34 pages.

"Management and Evaluation of Patents and Trademarks", Consultants' Analysis Report prepared by Ernst & Young and Ementor Management Consulting for The Danish Patent and Trademark Office, December 2000, 88 pages.

Ian Cobbolt et al., "The Development of the Balanced Scorecard as a Strategic Management Tool", 2GC Conference Paper, Presented at PMA Conference, Boston, USA, May 2002, 10 pages.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

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Respectfully submitted,

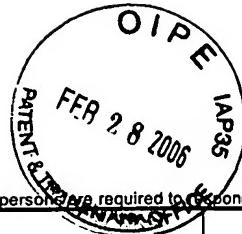
DAVIS MUNCK, P.C.



Matthew S. Anderson
Registration No. 39,093

Date: 2/22/10

Docket Clerk
P.O. Drawer 800889
Dallas, Texas 75380
Phone: (972) 628-3600
Fax: (972) 628-3616
Email: manderson@davismunck.com



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/737,215
Filing Date	December 16, 2003
First Named Inventor	Thomas P. Pritchard
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	05-03-001

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **¹** Applicant's unique citation designation number (optional). **²** See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. **³** Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **⁴** For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. **⁵** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. **⁶** Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/737,215
				Filing Date	December 16, 2003
				First Named Inventor	Thomas P. Pritchard
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	05-03-001

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	BA	Brian P. Gallegher, "Interpreting Capability Maturity Model Integration (CMMI) for Operational Organizations", Software Engineering Process Management, April 2002, 34 pages.			
	BB	"Management and Evaluation of Patents and Trademarks", Consultants' Analysis Report prepared by Ernst & Young and Ementor Management Consulting for The Danish Patent and Trademark Office, December 2000, 88 pages.			
	BC	Ian Cobbold et al., "The Development of the Balanced Scorecard as a Strategic Management Tool", 2GC Conference Paper, Presented at PMA Conference, Boston, USA, May 2002, 10 pages.			

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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